2152 BT 7-1802

CERTIFICATE OF MAILING  Applicant(s): Charles A. Jo	ennings, et al.	JUL 0 2 2002 (5)	Docket No. 390056
Serial No.	Filing Date	**RADEMARY Examiner	Group Art Unit
10/051,406	January 18, 2002	Not Yet Assigned	Not Yet Assigned

Invention:

SYSTEM AND METHOD FOR ROUTING MEDIA

I hereby certify that this Transmittal (1 page); Information Disclosure Statement (4 pages); Form PTO-1449 (Modified) (3 pages); copies of listed information items; authorization to charge additional fees that may be required, or credit any overpayment, to Deposit Account No. 12-0600; and pre-addressed postcard are being mailed in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this 27th day of June, 2002.

Denise M. Phipps

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JUL 0 8 2002

**Technology Center 2100** 

Please type a plus sign (+) inside this box -> [+] Approved for use through 10/31/2002, OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/051,406 <sub>0 2 1002</sub>T課ANSMITTAL Filing Date January 18, 2002 FORM **First Named Inventor** Charles A. Jennings, et al. for all correspondence after initial filing) Group Art Unit Not Yet Assigned **Examiner Name** Not Yet Assigned Total Number of Pages in This Submission 8 Attorney Docket Number 390056 ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form (for an Application) Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Response (Appeal Notice, Brief, Reply Brief) After Final Petition Proprietary Information Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Form PTO-1449 (Modified) Terminal Disclaimer **Post Card** Express Abandonment Request Request for Refund **Certificate of Mailing** Information Disclosure Statement CD, Number of CD(s) **RECEIVED** Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ JUL 0 8 2002 Incomplete Application Response to Missing Technology Center 2100 Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm James M. Stipek Individual name Signature 27, 2002 مىلك Date **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: June 27, 2002 Typed or printed name Denise Phipps

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Signature

Date

June 27, 2002



GIRAUE	IN THE UNITED STATES PATENT AND TR	ADEMARK (	OFFICE
Applicant(s)	: Charles A. Jennings, et al.	Group No.:	Not Yet Assigned
Serial No.:	10/051,406	Examiner:	Not Yet Assigned
Filed:	January 18, 2002		
For:	System and Method for Routing Media		RECEIVED
	ner for Patents a, D.C. 20231		JUL 0 8 2002 Technology Center 2100
	INFORMATION DISCLOSURE STA	ATEMENT	
	List of Sections Forming Part of This Information	n Disclosure S	Statement.
The following	ng sections are being submitted for this Information	Disclosure Sta	ntement:
1. 🗆 I	Preliminary Statements		
2. ⊠ I	FORM PTO-1449 (Modified)		
3. 🗆 S	Statement as to Information Not Found in Patents or	Publications	
	CERTIFICATE UNDER 37 C.F.R. § 1.8 an	d § 1.10	
	at, on the date shown below, this correspondence is being ted with the United States Postal Service in an envelope addressed to Corr	missioner for Paten	ts Washington D.C. 20231
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	7 C.F.R. § 1.8 s first class mail.	37 C.F.F as "Express Mail EXPRESS MAIL	Post Office to Addressee"
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	e Phipps Uluse Theps of Depositor Signature	) <u> </u>	Une 27, 2002

4.		Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted									
5.		Cumulative Patents or Publications									
6.	⊠	Copies of Listed Information Items Accompanying This Statement									
7.		Concise Explanation of Non-English Language Listed Information Items									
	7A.	☐ EPO Search Report									
	7B.	☐ English Language Version of EPO Search Report									
8.		Translation(s) of Non-English Language Documents									
9.	⊠	Identification of Person(s) Making This Information Disclosure Statement									
Secti	on 1.	Preliminary Statements									
they	believ	submit herewith patents, publications or other information of which they are aware, which e may be material to the examination of this application and in respect of which there may o disclose.									
has b	een m	of this information disclosure statement shall not be construed as a representation that a search ade (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, patentability, or that no other material information exists.									
		of this information disclosure statement shall not be construed as an admission against interest ner. Notice of January, 1992, 1135 O.G. 13-25, at 25.									
Secti	on 2.	Form PTO-1449 (Modified)									
Ø	À co	mpleted Form PTO-1449 (Modified) is attached hereto.									
	No F	orm PTO-1449 (Modified) is attached.									
Secti	ion 3.	Statements as to Information Not Found in Patents or Publications (Information not listed in Form PTO 1449)									
Secti	ion 4.	Identification of Prior Application In Which Listed Information Was Already Cited and for Which No Copies Are Submitted Or Need Be Submitted									

		cation relies, under 35 U.S.C. § 120, on the earlier filing date of prior application serial no. filed on The below listed references were submitted to and/or cited by
the C	Office	in this prior application. Therefore, copies are not submitted herewith.
Sect	ion 5.	Cumulative Patents or Publications
		is cumulative of the following patents or publications listed on Form PTO-1449
(mod	lified)	;
		nce with 37 C.F.R. § 1.98(c) a copy of only is being submitted with this n disclosure statement.
Sect	ion 6.	Copies of Listed Information Items Accompanying this Statement
_	-	pies of all items listed in Form PTO-1449 (Modified) accompany this information disclosure are enclosed.
	Exce	ption(s) to above:
		Items in prior application from which an earlier filing date is claimed for this application, as identified in Section 4.
		Cumulative patents or publications identified in Section 5.
Secti	ion 7.	Concise Explanation of Non-English Language Listed Information Items
(Not	e:	Where information was cited in a search report by a foreign patent officer, this requirement is met by submitting an English language version of the search report. This requirement is <u>not</u> met by a statement that the reference was cited in the prosecution of a patent application.)
Secti	on 8.	Translation(s) of Non-English Language Documents
	publi	nitted herewith is an English translation of the following foreign language patents, cations or information or of those portions of those patents, publications, or information dered to be material:
		nglish language translations of the foreign language patents, publications, or information or thereof are readily available, except for those listed above.
	subst	following foreign language documents submitted are believed to be the equivalent or antial equivalent of the English language documents identified below, which are also nitted herewith.

## Section 9. Identification of Person(s) Making this INFORMATION DISCLOSURE STATEMENT

The	person making this statement is the attorney who signs below on the basis of the information:
	supplied by the inventor(s). supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)).
×	in the attorney's file.

Respectfully submitted,

LATHROP & GAGE L.C.

By: (

James M. Stipek, Reg. No. 39,388/2345 Grand Boulevard, Suite 2800 Kansas City, MO 64108-2684 Tel: (816) 460-5848

Tel: (816) 460-5848 Fax: (816) 292-2001 Attorney of Record

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PTO 1449 (Modified)

## 37 CFR 1.97 RECRMATION DISCLOSURE STATEMENT

Atty Docket Number 390056

Serial Number 10/051,406

Applicant

Charles A. Jennings, et al.

Filing Date
January 18, 2002

Group Art Unit
Not yet assigned

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(Use several sheets if necessary)

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ENT& TRADE	MARY	<i>"</i>						U.S. PATENT DOCUMENTS				
EXAMINER INITIAL			DOCUMENT NUMBER					ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6 1 5 1 3 1 9					1	9	11/21/00	Dommety et al.	370	395	12/19/96
	6	1	4	4	6	5	7	11/07/00	Baehr	370	352	11/06/97
	6	1	3	8	1	6	3	10/24/00	Nam et al.	709	231	04/16/97
	6	1	3	4	5	9	6	10/17/00	Bolosky et al.	709	233	09/18/97
	6	1	3	1	0	9	1	10/10/00	Light	707	5	05/14/98
	6	1	1	9	1	6	3	09/12/00	Light  RECEIVE  Monteiro et al.	709	227	11/08/99
	6	1	1	2	2	3	9	08/29/00	Kenner et al. JUL 0 8 20	ያ <sub>09</sub>	224	06/18/97
	6 1 1 2 2 3 6				3	6	08/29/00	Dollin et allechnology Cente	27/0390	224	11/21/96	
	6 1 0 8 7 8 2			2	08/22/00	Fletcher et al.	713	153	06/24/97			
	6 0 9 8 1 0 8		08/01/00	Sridhar et al.	709	239	01/30/98					
	6	0	9	8	0	9	4	08/01/00	Barnhouse et al.	709	203	10/27/99
	6	0	9	1	7	7	7	07/18/00	Guetz et al.	375	240	05/26/98
	6	0	8	5	2	3	5	07/04/00	Clarke, Jr. et al.	709	219	09/16/97
	6	0	8	5	2	2	4	07/04/00	Wagner	709	203	03/11/97
	6	0	6	7	5	5	8	05/23/00	Wendt et al.	709	202	09/18/97
	6	0	6	7	5	4	2	05/23/00	Cariño, Jr.	707	4	09/30/97
	6	0	2	9	2	0	0	02/22/00	Beckerman et al.	709	226	03/09/98
	6	0	2	9	1	7	5	02/22/00	Chow et al.	707	104	06/07/96
	6	0	2	6	4	3	0	02/15/00	Butman et al.	709	203	03/24/97
	6	0	2	3	7	3	0	02/08/00	Tani	709	231	05/14/97
	6	0	1	4	7	0	6	01/11/00	Cannon et al.	709	231	03/14/97
	6	0	1	4	3	8	4	01/11/00	Weberhofer	370	455	10/27/97
	6	0	1	2	0	9	8	01/04/00	Bayeh et al.	709	246	02/23/98
	6	0	0	9	4	1	0	12/28/99	LeMole et al.	705	14	10/16/97
	6	0	0	6	2	6	4	12/21/99	Colby et al.	709	226	03/30/98



37 CFR 1.97
INFORMATION DISCLOSURE STATEMENT

1 2 2002
(Use several sheets if necessary)

Atty Docket Number 390056

Serial Number 10/051,406

Applicant

Charles A. Jennings, et al.

Filing Date
January 18, 2002

Group Art Unit
Not yet assigned

## **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		D	OCUM	IENT N	IUMBE	R		ISSUE DATE NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	6 0 0 5 8 5 8				5	8	12/21/99	Fallon et al.	370	351	06/17/97		
	5	9	9	9	1	7	9	12/07/99	Kekic et al. 34		349	11/17/97	
	5	9	9	1	3	0	6	11/23/99	Burns et al.	370	429	08/26/96	
	5	9	8	3	0	0	5	11/09/99	Monteiro et al.	395	200.61	07/06/98	
	5	9	8	2	8	9	1	11/09/99	Ginter et al.	380	4	11/04/97	
	5	9	5	9	9	9	4	09/28/99	Boggs et al.	370	399	08/19/96	
	5 9 5 8 0 1 6				1	6	09/28/99	Chang et al RECEIVE	709	229	07/13/97		
	5	9	4	8	0	6	1	09/07/99	Merriman et al.	709	219	10/29/96	
	5	9	4	0	3	6	9	08/17/99	Bhagavath et al.	370	229	03/26/97	
	5	9	3	3	8	3	5	08/03/99	Adams et al.	1907	104	04/09/97	
	5	9	3	0	4	9	3	07/27/99	Ottesen et al.	395	500	06/07/95	
	5	9	2	8	3	3	1	07/27/99	Bushmitch	709	231	10/30/97	
	5	9	1	0	9	5	4	06/08/99	Bronstein et al.	370	401	08/13/97	
	5	9	0	5	8	7	2	05/18/99	DeSimone et al.	395	200.75	11/05/96	
	5	8	9	2	9	1	5	04/06/99	Duso et al.	395	200.49	05/05/97	
	5	8	9	2	9	1	3	04/06/99	Adiga et al.	395	200.49	12/02/96	
	5	8	7	0	5	4	6	02/09/99	Kirsch	395	200.33	12/23/97	
	5	8	6	7	7	9	9	02/02/99	Lang et al.	707	1	04/04/96	
	5	8	6	7	6	6	5	02/02/99	Butman et al.	395	200.68	03/24/97	
	5	8	6	7	4	9	5	02/02/99	Elliott et al.	370 -	352	11/18/96	
	5	8	6	2	3	3	9	01/19/99	Bonnaure et al.	395	200.57	07/09/96	
	5	8	5	6	9	7	4	01/05/99	Gervais et al.	370	392	02/13/96	
	5 8 0 5 8 2 1		1	09/08/98	Saxena et al.	395	200.61	08/05/97					
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	5	7	7	8	1	8	7	07/07/98	Monteiro e	t al.	395	;	200.6	1 05	/09/96	
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	5	7	5	6	2	8	0	05/26/98	Soora et al		455		4.2	10	/03/95	
	5	7	4	2	8	4	0	04/21/98	Hansen et	al.	395	;	800	08	/16/95	
	5	6	8	4	7	9	9	11/04/97	Bigham et	al.	370	)	397	03	/28/95	
	5	6	5	9	5	4	2	08/19/97	Bell et al.		370	ı	496		03/03/95	
	5	3	6	1	2	5	4	11/01/94	Storck et a	370	)	57	11/30/92			
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